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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.                      | 203058US2RD  |
|   | First Inventor or Application Identifier | Mikio HASHIMOTO, et al.  |
|   | Title                                    | METHOD AND SYSTEM FOR DISTRIBUTING PROGRAMS USING TAMPER RESISTANT PROCESSOR |
| Assignee Name: KABUSHIKI KAISHA TOSHIBA<br>Assignee Address: 72, Horikawa-cho, Saiwai-ku, Kawasaki-shi, Kanagawa-ken, Japan             |  |  |

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| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents</small>  | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)<br><br>2. <input checked="" type="checkbox"/> Specification Total Sheets <b>26</b><br><br>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>5</b><br>(Formals)<br><br>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <b>4</b><br>a. <input checked="" type="checkbox"/> Newly executed (original)<br>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br>(for continuation / divisional w/ box 17 completed)<br>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting inventor(s) named in<br>the prior application, see 37 C.F.R. §1.63(d)(2) and<br>1.33(b).<br>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer<br>Program (Appendix)<br>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification or Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> Paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies | <b>ACCOMPANYING APPLICATION PARTS</b><br><br>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76<br>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS (4)<br>Citations<br>12. <input type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard<br>14. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)<br>15. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27<br>16. <input checked="" type="checkbox"/> Other: Notice of Priority, Statement of Relevancy |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
 of application Serial No. Filed on

☐ Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No. Filed

### 19. CORRESPONDENCE ADDRESS



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| Name:      | Marvin J. Spivak    | Registration No.: | 24,913  |
| Signature: |                     | Date:             | 2/13/01 |
| Name:      | C. Irvin McClelland | Registration No.: | 21,124  |

Docket No. 203058US2RD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Mikio HASHIMOTO, et al.

SERIAL NO: New Application

FILING DATE: Herewith

TITLE: METHOD AND SYSTEM FOR DISTRIBUTING PROGRAMS USING TAMPER RESISTANT PROCESSOR

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

| FOR  | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | CALCULATIONS |
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| TOTAL CLAIMS   | 19 - 20 =       | 0               | × \$18 =  | \$0.00       |
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| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)   |                 |                 | + \$270 = | \$0.00       |
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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
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Date: 2/13/01



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